AUG O 8 2005 JE

Attorney Docket No.: COOL-013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas W. Kenny et al.

Serial No.: 10/698,179

Filed: October 30, 2003

For: METHOD AND APPARATUS FOR

EFFICIENT VERTICAL FLUID DELIVERY FOR COOLING A HEAT PRODUCING DEVICE

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

Sir:

Examiner:

Group Art Unit: 3753

TRANSMITTAL LETTER

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No.: 28960

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

You will also find enclosed the associated Transmittal, Electronic Information Disclosure Statement, and United States Patent and Trademark Office Acknowledgment Receipt for the electronically filed Supplemental Information Disclosure Statement (EFS ID #89740) filed on August 3, 2005.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 8-4-05

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

.cki - scate of mailing (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date. 8-4-05 By: Alm D. Ruch



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

United States Patents or Published Patent Applications have been filed electronically (EFS ID# 89740). Applicants have become aware of the following printed publications which may be material to the examination of this application:

- Japanese Patent Abstract JP 10-99592; and
- Japanese Patent Abstract JP 2001-326311.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 8-4-05

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 8-4-65 By: The D. Russa



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3753

CERTIFICATION FOR

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37

Examiner:

CFR § 1.97(e)_

(408) 530-9700

162 N. Wolfe Road Sunnyvale, CA 94086

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MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached two (2) references contained in this supplemental information disclosure statement were cited within an International Search Report (ISR) mailed on May 23, 2005. Accordingly, the reference contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 8 · 4 · 0 5

y: Thomas R. Have

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR\$ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 8-4-05 By: Tund-Raun

Sheet 1 of 1 FORM PTO- (Modified) U.S. Department of Commerce Patent and Trademark Office Serial No.: 10/698,179 Attorney Docket No.: COOL-01302 OSURE STATEMENT BY APPLICANT Several Sheets If Necessary) Applicants: Thomas W. Kenny et al. Group Art Unit: 3753 Filing Date: October 30, 2003 (37 CFR § 1.98(b)) U.S. PATENT DOCUMENTS Serial / Patent Number Examiner Initials Filing Date Class Subclass Issue Date Applicant / Patentee AA ΑB AC ΑD ΑE ΑF AG ΑH ΑI ΑJ FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Translation Document Number Class Subclass **Publication Date** Country / Patent Office Yes No \mathbf{X} D06F 39/08 ΑK JP 10-99592 04/21/98 JP X JP 2001-326311 11/22/01 JР H01L 23/427 ALAM ΑN ΑO OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) ΑP AQ AR AS ΑT

Examiner:

Date Considered:

EXAMINER:

AU AW AX AY

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



UNITED STATES PATENT AND TRADEMARK OFFICE **ACKNOWLEDGEMENT RECEIPT**

Style heet Version v1.1.1

Title of Invention

METHOD AND APPARATUS FOR EFFICIENT VERTICAL FLUID DELIVERY FOR COOLING A HEAT PRODUCING DEVICE

Submission Type:

Information Disclosure Statement

Application Number:

10/698179

10/698179

EFS ID:

89740

Server Response:

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ISVR1	Submission was successfully submitted – Even if Informational or Warning Messages appear below, please do not resubmit this application
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First Named Applicant:

Thomas Kenny

Attorney Docket Number:

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_	us-ids	COOL01302-usidst.xml	2085
	us-ids	us-ids.dtd	7763
	us-ids	us-ids.xs1	12026
	package-data	COOL01302-pkda.xml	1740
	package-data	package-data.dtd	27025
	package-data	us-package-data.xsl	19263
		Total files size	69902
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Transmittal

Transmittal

Page 2 of 2

TRANSMITTAL

Electronic Version v1.1

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METHOD AND APPARATUS FOR EFFICIENT VERTICAL FLUID DELIVERY FOR COOLING A HEAT PRODUCING DEVICE Invention Title of

10/698179 10/698179 Application Number:

Thomas W. Kenny 2003-10-30 First Named Applicant: Date:

2504

Attorney Docket Number: Confirmation Number:

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Submitted by:	Elec. Sign.	Sign. Capacity
Thomas B. Haverstock	/tbh/	
Registered Number: 32571		Attorney

Documents being submitted	Files
spi-sn i	COOL01302-usidst.xml
	us-ids.dtd
	us-ids.xsl
Comments	

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ELECTROSIC INFORMATION CLOSURE STATEMENT Electronic Version v18 Stylesheet Version v18.0

METHOD AND APPARATUS FOR EFFICIENT VERTICAL FLUID Title of DELIVERY FOR COOLING A HEAT PRODUCING DEVICE Invention

Application Number: 10/698179 *10/698179*

Confirmation Number: 2504 First Named Applicant: Thomas Kenny

Attorney Docket Number: Search string:

(0596062 or 2273505 or 4211208 or 6397932 or

20020075645).pn.

 $\underline{\textbf{Certification:}} \ \textbf{This Information Disclosure Statement was submitted under the following}$ conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind Class	Subclass
	1	0596062	1897-12-28	W. P. Firey]	
	2	2273505	1942-02-17	R. R. Florian	j	
	3	4211208	1980-07-08	Lindner]	
	4	6397932	2002-06-04	Calaman et al.	B1	

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
1	20020075645	2002-06-20	Kitano et al.	Al		
Signature						
Signature						
Signature	Examiner Nan	ne		Da	te	

8/3/2005